**Shanghai Futures Exchange**

**Market Data Platform, SMDP2.0**

**Network Access Guide**

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# 1. Overview

For the purpose of more efficiently sending the market data, Shanghai Futures Exchange (SHFE) has launched the SHFE Market Data Platform (SMDP2.0). The new platform adopts technical methods such as data encoding compression and multicast transmission to further improve the service quality of data feeding.

This Guide introduces the SHFE Market Data Platform (SMDP2.0), and specifies its technical requirements, suggested network models, application procedure, etc. Clients who connect to SMDP2.0 are advised to follow this Guide.

# 2. Platform Introduction

|  |
| --- |
| **SHFE Market Data Platform (SMDP2.0)**  Market Data Query Service  **Secondary Center**  **Primary Center**  Market Data Incremental Service  Market Data Incremental Service  Market Data Query Service  **SHFE**  **Membership Access Platform**  **PD-DC - Network Access Channel**  **ZJ-DC - Network Access Channel**  **Users’ Market Data Receipt Platform**  **Member-end**  **Legend**  Primary Center MIRP Channel A  Primary Center MIRP Channel B  Primary Center MDQP  SecondaryCenter MIRP Channel A  Secondary Center MIRP Channel B  Secondary Center MDQP |
| Figure 1 Architecture of SHFE Market Data Platform (SMDP2.0) |

## 2.1. Services

The Platform provides market data query service in TCP and incremental feed service in UDP. For details, please refer to the <*API Specification for SHFE Market Data Platform, SMDP2.0>.*

* Market Data Query Service: It allows users to log in/out, query topic snapshots and request the missed topic incremental market data. This service uses MDQP (Market Data Query Protocol) to interact with users. MDQP is built on TCP.
* Market Data Incremental Service: It sends the topic incremental to its subscribers in real time. This function applies MIRP (Market data Incremental Refresh Protocol) to inform users in a multicast manner. MIRP is built on UDP.

## 2.2. Deployment Mode

* SMDP2.0 is deployed in "three centers in two cities", including Shanghai Futures Building Data Center, Zhangjiang Data Center, and Beijing Offsite Disaster Recovery Data Center. SMDP2.0 runs under primary-secondary mode among the data centers.
* Each center is equipped with multiple front-end servers engaged in market data query and market data incremental services.
* Both market data incremental services and market data query services are provided by Primary Center only, and Secondary Center is not enabled (shown by dotted lines in the figure) for the time being.
* For market data query service, users may connect to the front-end servers in Primary Center, and request market data snapshots or missed incremental market data as needed via TCP.
* For market data incremental service, Primary Center provides two channels (Channel A and Channel B) for UDP-based multicast. The two channels push the same content. PD-DC network access channel pushes market data on channel A, while ZJ-DC network access channel pushes market data on channel B. Users are given access to both channels, and may choose any one for receipt as needed.

# 3. Technical Requirements for Members

|  |  |  |
| --- | --- | --- |
| **Item** | **Requirement** | **Remarks** |
| Communication Line | Line types1: leased MSTP lines directly connected to SHFE, and LAN lines in Shanghai Futures Building. | For non-direct connect to SHFE, multicast market data transmission is not supported. |
| Access method: Dual-line direct connect to SHFE (1 line to PD-DC, 1 line to ZJ-DC). |
| Network Devices | Layer 3:   1. PIM-SSM; 2. IGMP v3. | It is recommended to use PIM-SSM + IGMP v3 for multicast2.  Alternatively, ASM mode is applicable as well:  1) Apply PIM Sparse Mode + IGMP v2;  2) Configure static RP towards the Exchange. |
| Layer 2:  IGMP Snooping. |
| Host/ Application | IGMP v3. |

Remark 1: For information on receiving SMPD2.0 by the hosted access members, please refer to the access manuals released by hosting rooms.

Remark2: Compared with ASM mode, SSM mode does not require RP related configuration; By running SSM mode, it can directly construct a shortest-paths tree (SPT), which is more efficient.

# 4. Security Requirements

To ensure the security and reliability of trading networks, members are required to isolate their transaction networks and systems from non-transaction ones such as the Internet and office intranets, and follow the security control policy as below:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Market Data Query Front-end** | | **Market Data Incremental Front-end** | |
| Data Center | Network Segment | Port | Network Segment | Port |
| PD-DC | 192.168.11.0/24 | TCP 33022 | 192.168.32.0/24  192.168.64.0/24 | UDP port; visit SHFE website for details |
| ZJ-DC | 192.168.12.0/24 | 192.168.48.0/24  192.168.80.0/24 |

Remarks: In case of changes to parameters, the latest release on SHFE website shall prevail.

# 5. Reference Model for Member Access

SMDP2.0 applies UDP-based multicast to send market data. Therefore, members’ network systems should be able to support multicast. For the purpose of helping members to better understand the network deployment requirements of the Platform, this chapter provides examples on how to plan a multicast network. Data information vendors may also refer to the following configuration examples for access.

**The configuration examples in this chapter are for illustration and reference only. Members should adapt the configuration for their own environment. Cisco 3825 routers (IOS version c3825-adventerprisek9-mz.150-1.M2.bin) are used in examples.**

## 5.1. Access Reference Model I (Channel Separation)

|  |
| --- |
| PD-DC  ZJ-DC  Market Data Query  Market Data Incremental  Market Data Incremental  Market Data Query  Source: 192.168.11.0/24  Source: 192.168.32.0/24  Group: 239.1[0-255]. [0-255]  User Login/ Logout  Market Data Incremental Query  Market Data Snapshot Query  Source: 192.168.64.0/24  Group: 239.2[0-255]. [0-255]  Channel A  Channel B  Source: 192.168.48.0/24  Group: 239.3[0-255]. [0-255]  Source: 192.168.80.0/24  Group: 239.4[0-255]. [0-255]  Channel A  Channel B  Source: 192.168.12.0/24  User Login/ Logout  Market Data Incremental Query  Market Data Snapshot Query  **SHFE Access Platform**  PD-DC Line (To Channel A)  ZJ-DC Line (To Channel B)  **SHFE**  **Member-end**  Route-A  Route-B  **Unicast Network Channel**  **Multicast Network Channel**  **Channel A**  **Channel B**  **Unicast Switch-A**  **VLAN C**  **Unicast Switch-B**  **VLAN C**  **Multicast Switch-A**  **VLAN A**  **Multicast Switch-B**  **VLAN B**  Primary-Secondary Mode  NIC C  NIC D  Primary-Secondary Mode  NIC C  NIC D  NIC A  NIC B  **Existing Order Server**  **Order/ Market Data Server**  NIC C+D: 1.1.1.102/24 (Unicast)  Gateway: 1.1.1.12 or 1.1.1.11  NIC A: 2.1.1.102/24  Gateway: 2.1.1.1  NIC B: 3.1.1.102/24  Gateway: 3.1.1.1  NIC C+D: 1.1.1.102/24 (Unicast)  Gateway: 1.1.1.11 or 1.1.1.12 |
| Figure 2 Topology Diagram for Access Reference Model I - Channel Separation Model |

As shown in Figure 2, the “channel separation” model bring less impact on members’ networks. A new multicast network is built to carry the multicast market data without impact existing network architectures. Existing unicast network continues offering unicast services. This model is recommended for members that originally apply the HSRP+NAT mode, Details as follows:

* Reuse leased lines: Members can reuse the two existing leased lines connected to PD-DC and ZJ-DC to receive multicast market data; there is no need to apply additional leased line. SHFE’s Primary Center is set with two market data channels - Channel A and Channel B. The two channels push the same content. PD-DC network access channel pushes market data on channel A, while ZJ-DC network access channel pushes market data on channel B. The multicast traffic increases the bandwidth usage, members are advised to assess bandwidth utilization beforehand.
* Build new multicast network: It is suggested to allocate an Ethernet interface from each of the two routers. The Ethernet interface would be used for the multicast network channels; Deploy two switch devices (multicast switches A and B) for Channel A and Channel B respectively. It is not suggested to interconnect multicast switches A and B.
* There is no need to adjust unicast network; it can still be used for existing unicast services.
* Market data server: The server need with four NICs. Wherein, NIC A is connected to Multicast Switch A, and receives multicast market data from Channel A. NIC B is connected to Multicast Switch B, and receives multicast market data from Channel B. NIC C/D (redundancy as primary-secondary mode) are connected to unicast network to access market data query services.

### 5.1.1. Configuration Example I (SSM Mode)

|  |
| --- |
| * Router: (To ensure the reliable reception of market data, it is required to configure relevant parameters of the multicast market data for both SHFE’s primary and secondary centers)   ! Enable multicast routing  ip multicast-routing  ! Define SSM address range; Must be configured!  ip pim ssm range 239.0.0.0/13  ! Enable multicast routing protocol on external interface  interface F0/0  ip pim sparse-mode  ! Enable multicast routing protocol and IGMP on Internal interface  interface F0/2  ip pim sparse-mode  ip igmp version 3  ! Add static routes to multicast source. They are used for RPF detection and build a multicast distribution tree (for Router-A configuration only)  ip route 192.168.48.0/24 F0/0 10.32.0.1 name ZJ-FeedA  ip route 192.168.32.0/24 F0/0 10.32.0.1 name PD-FeedA  ! Add static routes to multicast source. They are used for RPF detection and build a multicast distribution tree (for Router-B configuration only)  ip route 192.168.80.0/24 F0/0 10.64.0.1 name ZJ-FeedB  ip route 192.168.64.0/24 F0/0 10.64.0.1 name PD-FeedB   * Switch:   ! Enable IGMP snooping, forward multicast on demand to avoid floods  ip igmp snooping   * Server   ! Enable IGMP v3 and join in corresponding multicast group (S,G). |

### 5.1.2. Configuration Example II (ASM Mode)

|  |
| --- |
| * Router (To ensure the reliable reception of market data, it is required to configure relevant parameters of the multicast market data for both SHFE’s primary and secondary centers) :   ! Enable multicast routing  ip multicast-routing  ! Enables multicast routing protocol on external interface  interface F0/0  ip pim sparse-mode  ! Enables multicast routing protocol and IGMP on internal interface  interface F0/2  ip pim sparse-mode  ip igmp version 2  ! Configure a static RP for Channel A (for Router-A configuration only)  ip pim rp-address 192.168.32.250  ! Configure a static RP for Channel B (for Router-B configuration only)  ip pim rp-address 192.168.64.250  *—— SHFE does not provide RP connectivity testing*  ! Configure a multicast source of Channel A and a unicast static route of PR, and build a multicast tree (for Router-A configuration only)  ip route 192.168.48.0/24 F0/0 10.32.0.1 name ZJ-FeedA  ip route 192.168.32.0/24 F0/0 10.32.0.1 name PD-FeedA  ! Configure a multicast source of Channel A and a unicast static route of PR, and build a multicast tree (for Router-B configuration only)  ip route 192.168.80.0/24 F0/0 10.64.0.1 name ZJ-FeedB  ip route 192.168.64.0/24 F0/0 10.64.0.1 name PD-FeedB   * Switch:   ! Enable IGMP snooping, forward multicast on demand to avoid floods  ip igmp snooping   * Server   ! Enable IGMP v2 and join in corresponding multicast group. |

## 5.2. Access Reference Model II (Channel Sharing)

|  |
| --- |
| PD-DC  ZJ-DC  Market Data Query  Market Data Incremental  Market Data Incremental  Market Data Query  Source: 192.168.11.0/24  Source: 192.168.32.0/24  Group: 239.1[0-255]. [0-255]  User Login/ Logout  Market Data Incremental Query  Market Data Snapshot Query  Source: 192.168.64.0/24  Group: 239.2[0-255]. [0-255]  Channel A  Channel B  Source: 192.168.48.0/24  Group: 239.3[0-255]. [0-255]  Source: 192.168.80.0/24  Group: 239.4[0-255]. [0-255]  Channel A  Channel B  Source: 192.168.12.0/24  User Login/ Logout  Market Data Incremental Query  Market Data Snapshot Query  **SHFE Access Platform**  PD-DC Line (To Channel A)  ZJ-DC Line (To Channel B)  **SHFE**  **Member-end**  Route-A  Route-B  **Unicast/ Multicast Channel Mergence**  **Channel A**  **Channel B**  **Switch-A**  **VLAN C**  **Switch-B**  **VLAN B**  NIC A  NIC B  NIC B  NIC A  **Offer/ Market Data Server-2**  NIC A: 10.32.1.102/24  Gateway: 10.32.1.1  NIC B: 10.64.1.102/24  Gateway: 10.64.1.1  **Offer/ Market Data Server-1**  NIC A: 10.32.1.101/24  Gateway: 10.32.1.1  NIC B: 10.64.1.101/24  Gateway: 10.64.1.1 |
| Figure 3 Topology Diagram for Access Reference Model II - Channel Mergence Model |

As shown in Figure 3, in the channel sharing model, there is no need to change existing network architecture; it is only necessary to add multicast related configurations. Existing network architecture provides both unicast and multicast services. For a member that originally applies a non-HSRP+NAT mode, this model is recommended. Details as follows:

* Leased lines sharing: Members can reuse the two existing leased lines that connected to PD-DC and ZJ-DC to receive multicast market data; there is no need to apply for new leased lines. SHFE’s Primary Center is set with two market data channels - Channel A and Channel B, which are connected to PD-DC and ZJ-DC respectively. The multicast market data will increase the bandwidth usage, so members are advised to assess bandwidth utilization beforehand.
* Network sharing: The two routers receive market data from Channel A and Channel B, respectively. The two switches forward market data from Channel A and Channel B. The TCP data generated by original unicast services is forwarded as usual.
* Market data server: The server shall be equipped with 2 NICs. NIC A and NIC B are connected to Channel A and Channel B respectively.

### 5.2.1. Configuration Example I (SSM Mode)

|  |
| --- |
| * Router: (To ensure the reliable reception of market data, it is required to configure relevant parameters of the multicast market data in SHFE’s primary and secondary centers at the same time)   ! Enable multicast routing  ip multicast-routing  ! Define SSM group address range; must be configured  ip pim ssm range 239.0.0.0/13  ! Enables multicast routing protocol on external interface  interface F0/0  ip pim sparse-mode  ! Enables multicast routing protocol and IGMP on internal interface  interface F0/1  ip pim sparse-mode  ip igmp version 3  ! Add static routes to multicast source for RPF detection in order to build a multicast distribution tree (for Router-A configuration only)  ip route 192.168.48.0/24 F0/0 10.32.0.1 name ZJ-FeedA  ip route 192.168.32.0/24 F0/0 10.32.0.1 name PD-FeedA  ! Add static routes to multicast source for RPF detection in order to build a multicast distribution tree (for Router-B configuration only)  ip route 192.168.80.0/24 F0/0 10.64.0.1 name ZJ-FeedB  ip route 192.168.64.0/24 F0/0 10.64.0.1 name PD-FeedB   * Switch:   ! Enable IGMP snooping, forward multicast on demand to avoid floods.  ip igmp snooping   * Server * ! Enable IGMP v3 and join in corresponding multicast group (S,G). |

### 5.2.2. Configuration Example II (ASM Mode)

|  |
| --- |
| * Router: (To ensure the reliable reception of market data, it is required to configure relevant parameters for receiving the multicast market data in SHFE’s primary and secondary centers at the same time)   ! Enable multicast routing:  ip multicast-routing  ! Enables multicast routing protocol on external interface  interface F0/0  ip pim sparse-mode  ! Enables multicast routing protocol and IGMP on internal interface  interface F0/1  ip pim sparse-mode  ip igmp version 2  ! Configure a static RP towards Channel A (for Router-A configuration only)  ip pim rp-address 192.168.32.250  ! Configure a static RP towards Channel A (for Router-B configuration only)  ip pim rp-address 192.168.64.250  *—— The Exchange does not provide RP connectivity testing*  ! Configure a multicast source of Channel A and a unicast static route of PR, and build a multicast tree (for Router-A configuration only)  ip route 192.168.48.0/24 F0/0 10.32.0.1 name ZJ-FeedA  ip route 192.168.32.0/24 F0/0 10.32.0.1 name PD-FeedA  ! Configure a multicast source of Channel A and a unicast static route of PR, and build a multicast tree (for Router-B configuration only)  ip route 192.168.80.0/24 F0/0 10.64.0.1 name ZJ-FeedB  ip route 192.168.64.0/24 F0/0 10.64.0.1 name PD-FeedB   * Switch:   ! Enable IGMP snooping, forward multicast on demand to avoid floods  ip igmp snooping   * Server * ! Enable IGMP v2 and join in corresponding multicast group. |

# 6. Application Procedure and Processing

## 6.1. Application Procedure

**The detailed application procedure is as follows:**

* Step 1: Application for Access to SMDP2.0

1. The member shall first read and understand the specifications in Access Guide, then fill out and send the *Application Form for Access to SHFE Market Data Platform (SMDP2.0)* to SHFE.

2. SHFE checks if the member is qualified for accessing the Platform. If unqualified, SHFE will notify the member, and may require extra application materials. If qualified, SHFE will approve the application, and send multicast market data to the member on both Channel A and B. All members may visit the SHFE website to obtain related market data parameters.

* Step 2: SMDP2.0 Reception Debug:

3. SMDP2.0 Reception Debug: Upon proper deployment of the reception environment by the member, SHFE will send the multicast market data on Channel A/B as requested by the member in its application.

4. SMDP2.0 Access Redundancy Testing: The member is required to perform redundancy test on multicast market data reception, then fill out and send the *Redundancy Testing Report for Access to SHFE Market Data Platform (SMDP2.0)* to SHFE*.*

5. Approve access: After above testing report is approved by SHFE. The member is allowed to receive market data from SMDP2.0 as normal.

## 6.2. Application Processing

* Application Portal

Members can visit SHFE’s member service system to download the application form, and email it to SHFE upon completion. Data information vendors may apply to the Information Management Department of SHFE.

* Contacts

Please visit the SHFE website to obtain contacts. Website: [www.shfe.com.cn](http://www.shfe.com.cn).

# 7. Troubleshooting Guide

## 7.1. Basic Policy

The multicast troubleshooting can be divided into two parts: data flow and protocol signal:

* + Data flow: data transmission from the multicast source, forwarding path "first hop device-intermediate device-last hop device", multicast data replication by last-hop device, and reception by member-end-server.
  + Protocol signal: joining/leaving multicast group, and construction of a multicast distribution tree.

## 7.2. Basic Approaches

The examples provided in this chapter are for reference only. Members should check according to their own equipment and software. Cisco 3825 (version c3825-adventerprisek9-mz.150-1.M2.bin) is used in the examples.

**Table 1: Check the Forwarding of Multicast Data Flow**

|  |  |  |
| --- | --- | --- |
| **Inspection Item** | **Inspection Method** | **Criterion** |
| Whether multicast data is received from SHFE  (source inspection) | show interface | Check the count of multicast packets on the interface |
| show ip accounting | Check the forwarding count of a multicast stream |
| show ip traffic | Check statistics on traffic forwarding |
| Whether multicast data is forwarding normally  (channel inspection) | show ip mroute active | Check the packet rate |
| show ip mroute count | Check the forwarding and packet loss of multicast streams |
| Whether multicast data is received by host  (receiver inspection) | ifconfig | Check the count at NIC |
| netstat - tunlp | Check the snooping at the application port |
| Capture packets | Check the packets received by NIC |

**Table 2: Check the Status of Multicast Protocol**

|  |  |  |
| --- | --- | --- |
| **Inspection Item** | **Inspection Method** | **Criterion** |
| Multicast Channel  First-hop/ Intermediary/ Last-hop Device | show ip multicast | Check whether the multicast function is enabled |
| show ip route | Check whether the unicast routing of source is configured correctly |
| show ip rpf <ip\_addr> | Check whether the multicast RPF detection is correct |
| show ip pim neighbor | Detect neighbors of multicast routing (no neighbors would be created between SHFE and members; neighbors here refer to members' internal multicast domains) |
| show ip pim interface | Check whether the interface is enabled, and DR election |
| show ip mroute | Check the multicast routing table (whether IIF/OIF/RPF/Flag and other information is correct) |
| show ip igmp group | Check the information on terminal’s joining/ quitting groups |
| show ip igmp interface | Check IGMP version, timer and other information |
| show ip igmp snooping group | Check IGMP snooping, and the accuracy of router port/ host port |
| Receiver | IGMP version inspection | Make sure the host/ application system has adopted Version 3 |
| Others |  |

Note: If no irregularities are found in the above inspections, please further investigate at the application level.

# 8. Member Application Form

**Application Form for Access to SHFE Market Data Platform (SMDP2.0)**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Company Name  (stamp) |  | | | | | | |
| Member/ Data Information Vendor No. |  | | Remote Seat Username | | |  | |
| Access Information | | | | | | | |
| Software Supplier and Version |  | | Fax | |  | | |
| Name of Technical Contact Person |  | | Tel | |  | | |
| Mobile |  | | Email | |  | | |
| Access Address |  | | | | | | |
| Leased Line Information | PD-DC Line No. |  | | Operator | | | □Telecom □Unicom |
| Internal LAN | | | □ |
| Rate | | |  |
| WAN IP |  | | LAN IP | | |  |
| ZJ-DC Line No. |  | | Operator | | | □Telecom □Unicom □China Mobile |
| Rate | | |  |
| WAN IP |  | | LAN IP | | |  |
| Multicast Mode | □PIM-SSM + IGMPv3 □PIM-ASM + IGMPv2 | | | | | | |
| Topic of Multicast Market Data | (Please fill out the topics of multicast market data as needed. For details, refer to the parameters of the multicast market data topics released by SHFE.) | | | | | | |
| Remarks: | | | | | | | |
|  | | | | | | | |

**Instructions:**

**1) The traffic generated by overlay multicast will increase the bandwidth usage, so please assess bandwidth utilization beforehand;**

**2) Please fill out this form and email it, together with its stamped PDF scan, to SHFE;**

**3) For the convenience of archiving, please name the file as "Application Form for Access to SHFE Market Data Platform (SMDP2.0)+Member No.+Company Name in Short+Line No.”.**

**Redundancy Testing Report for Access to SHFE Market Data Platform (SMDP2.0)**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Company Name  (stamp) | | |  | | | | | |
| Member/ Data Information Vendor No. | | |  | | Remote Seat Username | | |  |
| Access Address of  Member/ Data Information Vendor | | |  | | | | | |
| Leased Line 1 | | | | | | | | |
| Access Point | | | | □PD-DC □ZJ-DC | | | | |
| Type | | | |  | | Operator Name |  | |
| Line No. | | | |  | | Bandwidth |  | |
| WAN Router Model | | | |  | | WAN Router Name |  | |
| VAN Interface Address | | | |  | | Market Data Server IP |  | |
| Leased Line 2 | | | | | | | | |
| Access Point | | | | □PD-DC □ZJ-DC | | | | |
| Type | | | |  | | Operator Name |  | |
| Line No. | | | |  | | Bandwidth |  | |
| WAN Router Model | | | |  | | WAN Router Name |  | |
| VAN Interface Address | | | |  | | Market Data Server IP |  | |
| Test Methods | | | | | | | | |
| 1 | Check whether Channel A can receive market data normally | | | | | Result: | | |
| 2 | Check whether Channel B can receive market data normally | | | | | Result: | | |
| 3 | Enable Channel A/B at the same time, and then cut Channel A, check whether Channel B can receive market data normally | | | | | Result: | | |
| 4 | Enable Channel A/B at the same time, and then cut Channel B, check whether Channel A can receive market data normally | | | | | Result: | | |
| 5 | Check whether market data query services can operate normally | | | | | Result: | | |
| Remarks | |  | | | | | | |

**Instructions:**

**1) Please fill out this form and email it, together with its stamped scan, to SHFE;**

**2) For the convenience of archiving, please name the file as "Redundancy Testing Report for Access to SHFE Market Data Platform (SMDP2.0)+Member/ Data Information Vendor No.+ Company Name in Short + Line No.”.**